

07/26/01
J1060 U.S. PTO

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PTO/SB/05 (4/98)
Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 501.36702CX2

First Inventor or Application Identifier M. OHE, et al

Title See Addendum

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1 ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2 ☒ Specification [Total Pages 41]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 3 ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 11]
- 4 Oath or Declaration [Total Pages 2]
 - a ☐ Newly executed (original or copy)
 - b ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 5 ☐ Microfiche Computer Program (Appendix)
- 6 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a ☐ Computer Readable Copy
 - b ☐ Paper Copy (identical to computer copy)
 - c ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 7 ☐ Assignment Papers (cover sheet & document(s))
- 8 ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- 9 ☐ English Translation Document (if applicable)
- 10 ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 11 ☐ Preliminary Amendment
- 12 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 13 ☐ * Small Entity Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) ☐ Status still proper and desired
- 14 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 15 ☐ Other:

16 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/185,647

Prior application information: Examiner J. Dudek Group / Art Unit: 2871

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

020457

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name					
Address					
City	State	Zip Code			
Country	Telephone	Fax			

Name (Print/Type)	Melvin Kraus	Registration No. (Attorney/Agent)	22,466
Signature	<i>Melvin Kraus</i>	Date	July 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 790.00)**Complete if Known**

Application Number _____
 Filing Date **July 26, 2001**
 First Named Inventor **M. OHE, et al**
 Examiner Name _____
 Group Art Unit _____
 Attorney Docket No. **501.36702CX2**

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **01-2135**
 Deposit Account Name _____

☐ Charge Any Additional Fee Requested Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27.

- 2.
- ☒
- Payment Enclosed:**

☐ Check ☒ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710.00
106	350	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 710.00)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20	20**	0 x 18	
4	3**	1 x 80	80.00
Multiple Dependent		270	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** For each independent claim over original patent
110	18	210	9	** For each claim in excess of 20 over original patent

SUBTOTAL (2) (\$ 80.00)

*No number previously paid, otherwise, For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
122	50	222	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> re-examination	
112	920*	112	920*	Requesting publication of ISR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of ISR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
124	270	224	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
129	710	229	355	Request for Continued Examination (RCE)	
162	900	162	900	Request for expedited examination of a design application	

Office fee, per page

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)**SUBMITTED BY****Complete if applicable**Applicant's Name **Melyin Kraus**Registration No. **22,466**Telephone **(703) 312-6600**

Signature

Date **July 26, 2001****WARNING** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Attachment to PTO/SB/05 (4/98)
Utility Patent Application Transmittal

1. LIQUID CRYSTAL DISPLAY DEVICE AND POLARIZED LIGHT
IRRADIATION METHOD AS WELL AS APPARATUS THEREOF DEVICE